

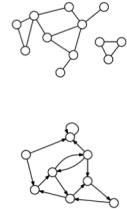
## CS 340 - Analysis of Algorithms

### Graph Theory Review

Dianna Xu

### Graph

- A graph  $G = (V, E)$  represents a set of vertices  $V$  and a set of edges  $E$ .
- $E$  may consist of unordered or ordered pairs of vertices and the resulting graph is undirected/directed.
- Edges and vertices may have weights



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### Terminology

- Given an edge  $e = (u, v)$ ,  $u$  and  $v$  are *endpoints* of  $e$  and  $e$  is *incident* on  $u$  and  $v$ .  $u$  and  $v$  are *adjacent*.
- The *degree* of a vertex  $\deg(v)$  is the number of incident edges on  $v$  in an undirected graph, or the number of outgoing edges in a directed graph.
- $|V| = n$
- $|E| = m$

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### Basic Graph Size Estimates

• Undirected graph	• Directed graph
$ E  = 0 \leq m \leq \binom{n}{2}$	$ E  = 0 \leq m \leq n(n-1)$
$= \frac{n(n-1)}{2} = O(n^2)$	$= O(n^2)$
$\sum_{v \in V} \deg(v) = 2m$	$\sum_{v \in V} \text{indeg}(v) = m$
	$\sum_{v \in V} \text{outdeg}(v) = m$

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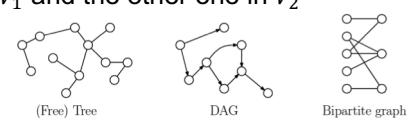
### Terminology

- A *path* in a graph is a sequence of vertices  $\langle v_0, \dots, v_k \rangle$  such that  $(v_{i-1}, v_i)$  is an edge for  $i = 1, \dots, k$
- The *length* of a path is the number of edges,  $k$ .
- A *cycle* is a path containing at least one edge and for which  $v_0 = v_k$
- A cycle is *simple* if its edges and vertices (except for  $v_0$  and  $v_k$ ) are distinct.

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### Terminology

- An *acyclic* graph contains no simple cycles
- An acyclic connected graph is a *tree*
- The vertices of a *bipartite* graph can be partitioned into two disjoint subsets,  $V_1$  and  $V_2$  such that all edges have one endpoint in  $V_1$  and the other one in  $V_2$



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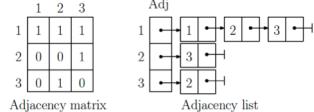
## Representation

- Adjacency matrix: An  $n \times n$  matrix defined for  $1 \leq v, w \leq n$ :  $A[i, j] = 1$  if  $(u, v) \in E$
- Adjacency list: An array of pointers where for  $1 \leq v \leq n$ ,  $Adj[v]$  points to a list containing the vertices that are adjacent to  $v$



	1	2	3
1	1	1	1
2	0	0	1
3	0	1	0

Adjacency matrix

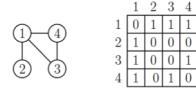


Adjacency list

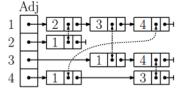
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## Undirected Graphs

- Adjacency matrix: store the edges twice
- Adjacency list: cross-links



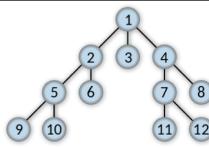
Adjacency matrix



Adjacency list (with crosslinks)

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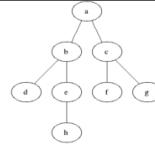
## Graph Traversals: BFS



- Given a graph  $G = (V, E)$ , breadth-first search starts at some vertex  $s$  and visits vertices reachable from  $s$  in layers.
- Define the distance between a vertex  $v$  and  $s$  to be the minimum number of edges on a path from  $s$  to  $v$ .
- BFS visits the vertices in increasing order of distance.

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## BFS

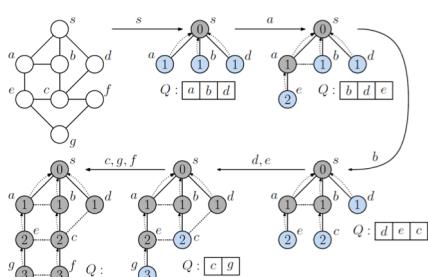


```
BFS(G, s) {
    set mark to all false
    mark[s] = true, Q = {s}
    while (Q is not empty) {
        u = dequeue of Q
        for each (v in Adj[u]) {
            if (!mark[v]){
                mark[v]=true
                append v to Q
            }
        }
    }
}
```

- mark array (booleans, indexed by vertices) tracks which vertices have been visited
- $Q$  is a FIFO queue
- $Q$  contains the frontier (discovered but unvisited) vertices

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## BFS on a Graph



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## BFS Time Analysis

```
BFS(G, s) {
    set mark to all false
    mark[s] = true, Q = {s}
    while (Q is not empty) {
        u = dequeue of Q
        for each (v in Adj[u]) {
            if (!mark[v]){
                mark[v]=true
                append v to Q
            }
        }
    }
}
```

- $|V| = n, |E| = m$
- Initialization requires  $O(n)$
- Traversal loop
  - while: we never visit a vertex twice
  - for each: depends on the degree of vertex
- $T(n, m) = n + \sum_{u \in V} (\deg(u) + 1)$
- $= n + \sum_{u \in V} \deg(u) + \sum_{u \in V} 1$
- $= n + \sum_{u \in V} \deg(u) + n$
- $= 2n + \sum_{u \in V} \deg(u)$
- $= 2n + 2m$
- $O(n + m)$

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## BFS with More Record Keeping

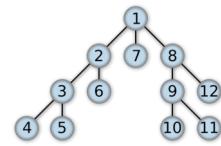
```

BFS(G, s) {
    for each (u in V) {
        mark[u] = false, d[u] = infinity, pred[u] = null
    }
    mark[s] = true, d[s] = 0, Q = {s}
    while (Q is not empty) {
        u = dequeue of Q
        for each (v in Adj[u]) {
            if (!mark[v]){
                mark[v] = true
                d[v] = d[u]+1
                pred[v] = u
                append v to Q
            }
        }
    }
}

```

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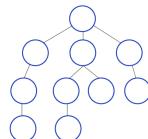
## Graph Traversals: DFS



- Given a graph  $G = (V, E)$ , depth-first traversal strives for maximal depth and backtracks only when necessary.
- Recursive algorithm

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DFS



```

DFS(G) {
    set mark to all false
    for each (v in V) {
        if (!mark(v))
            DFS(v)
    }
}

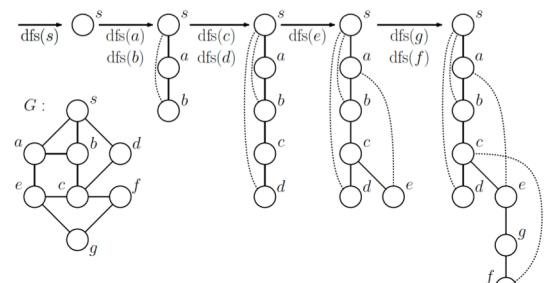
DFS(u) {
    mark[u] = true
    for each (v in Adj[u])
        if (!mark[v])
            DFS(v)
}
}

```

- mark array used to track seen vertices
- The wrapper is only needed if not all vertices are reachable from source

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## DFS on Graph



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## Running Time

```

DFS(G) {
    set mark to all false
    for each (v in V) {
        if (!mark(v))
            DFS(v)
    }
}

DFS(u) {
    mark[u] = true
    for each (v in Adj[u]) {
        if (!mark[v])
            DFS(v)
    }
}

```

- $|V| = n, |E| = m$
- Initialization  $O(n)$
- DFS is called once per vertex (in wrapper or recursively)
- $T(n, m) = n + \sum_{u \in V} (\deg(u) + 1)$
- $= n + \sum_{u \in V} \deg(u) + \sum_{u \in V} 1$
- $= 2n + \sum_{u \in V} \deg(u)$
- $= 2n + 2m$
- $O(n + m)$

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## DFS Additional Record Keeping

- start times array:  $s$
- finish times array:  $f$
- predecessors array:  $pred$

```

DFSG(G)  {
  time = 0
  for each (u in V) {
    mark[u] = unseen
  }
  for each (u in V) {
    if (mark[u] == unseen)
      DFS(u)
  }
}

```

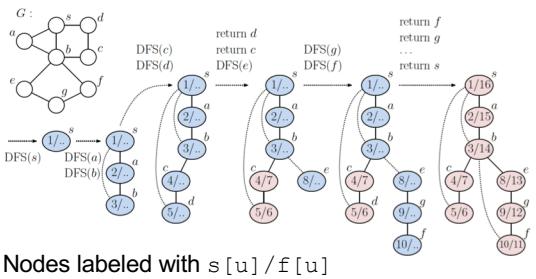
```

DFS(u) {
    mark[u] = seen
    s[u] = time++
    for each (v in Adj[u]) {
        if (mark[v] == unseen) {
            pred[v] = u
            DFS(v)
        }
    }
    mark[u] = finished
    f[u] = time++
}

```

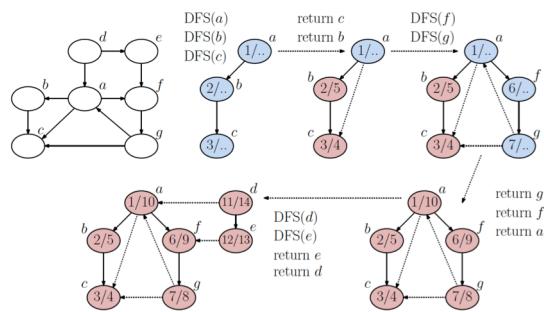
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## DFS on Undirected Graph

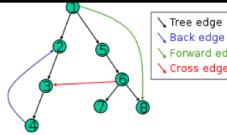


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## DFS on Directed Graph



## DFS Edge Classification



- If  $v$  is visited for the first time as we traverse  $(u, v)$ , then  $(u, v)$  is a tree edge
- else,  $v$  has already been visited
  - if  $v$  is an ancestor of  $u$ ,  $(u, v)$  is a back edge
  - if  $v$  is a descendant of  $u$ , then  $(u, v)$  is a forward edge
  - if  $v$  is neither, then  $(u, v)$  is a cross edge

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## CS 340 - Analysis of Algorithms Greedy Algorithms – Interval Scheduling

Dianna Xu

## Optimization Problems

- Arise naturally in many applications of science and engineering
- Problem is subject to various constraints
- Want to minimize cost or maximize objective
- Efficient solutions are not given
- Optimality also a concern

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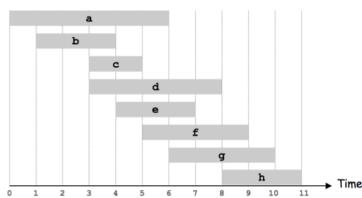
## Greedy Algorithms

- An algorithm that builds up a solution by “myopically” selecting the best choice at the moment
- Greedy algorithms don’t always produce optimal solutions
- Even when they don’t, they provide fast heuristics that gives us good approximations

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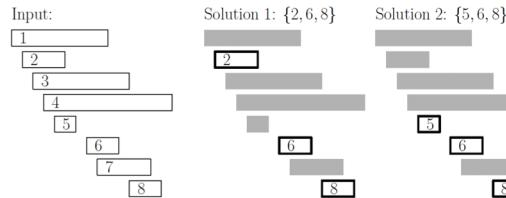
## Interval Scheduling

- Given a set  $R$  of  $n$  activities with start-finish times  $[s_i, f_i], 1 \leq i \leq n$ , determine a maximum subset of  $R$  consisting of compatible requests



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## Example



(a)

(b)

(c)

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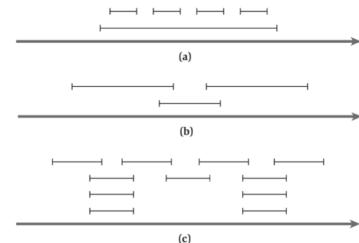
## Greedy Design Basics

- For each request, use a simple rule to decide if it should be accepted.
- Once accepted, it can not be rescinded (greedy does not backtrack).
- What criteria should we use here?

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## Approaches

- Earliest Activity First
- Shortest Activity First
- Lowest Conflict Activity First



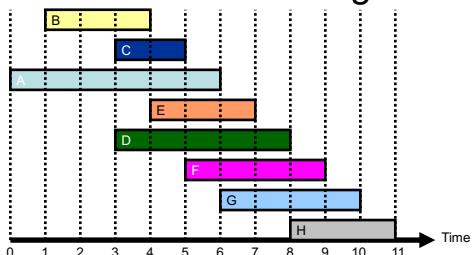
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## Earliest Finish First

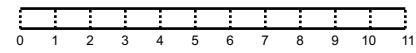
```
greedySchedule(R) { // R the set of requests
  A = empty // A the set of scheduled activities
  while (R is nonempty) {
    r = request in R with the smallest finish time
    append r to A
    delete from R all requests that overlap r
  }
  return A
}
```

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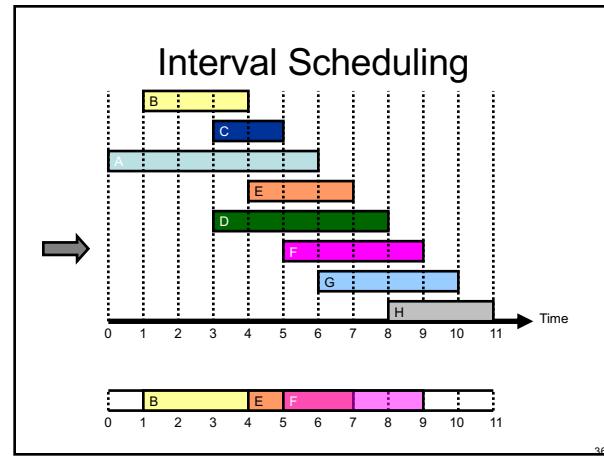
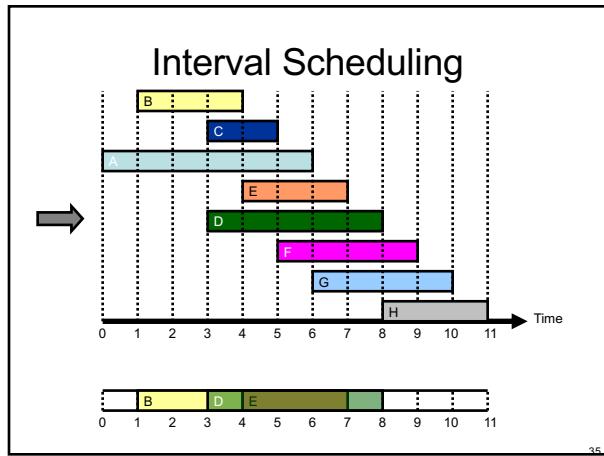
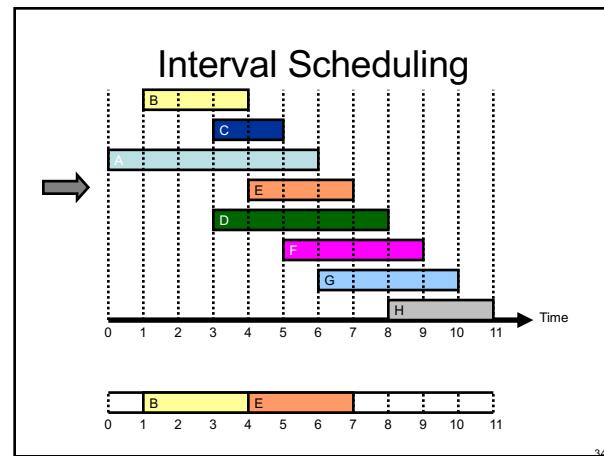
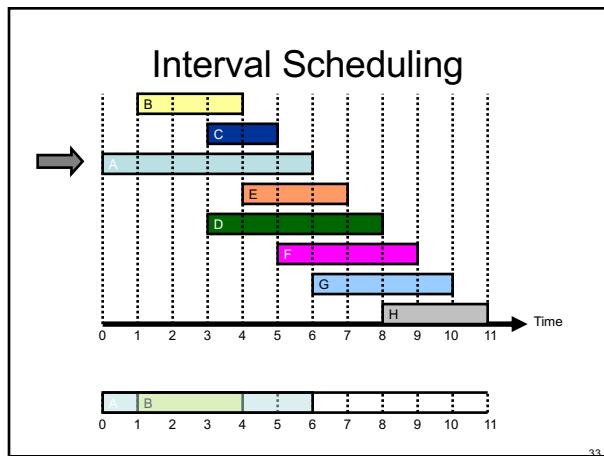
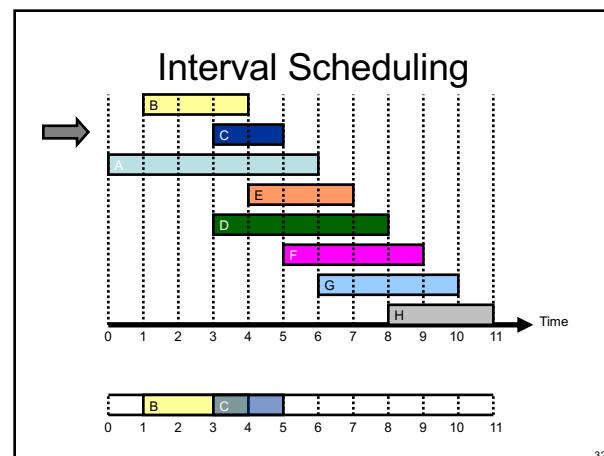
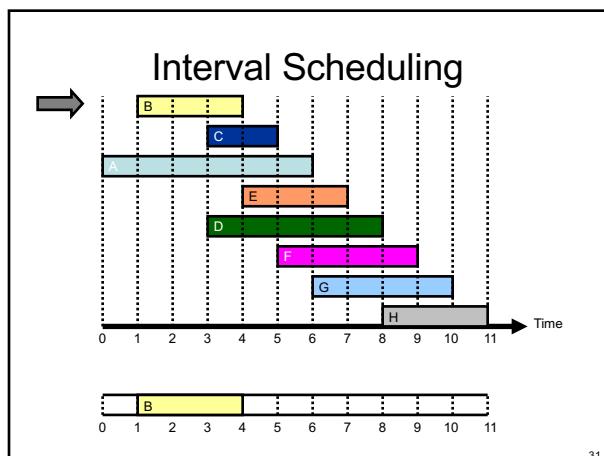
## Interval Scheduling

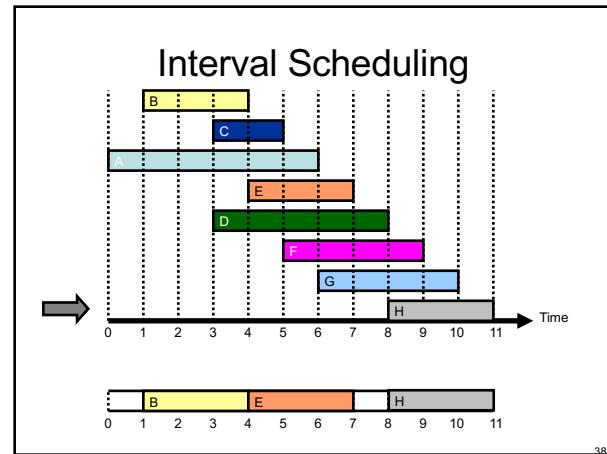
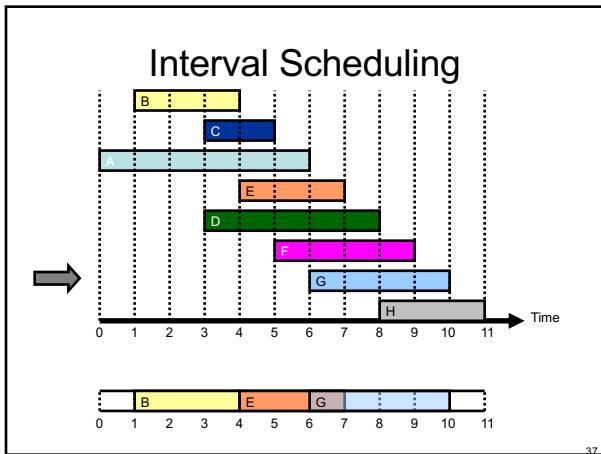


Fill in the schedule:



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### Earliest Finish First

```
greedySchedule(R) { // R the set of requests
  A = empty      // A the set of scheduled activities
  sort R by finish times
  prevA = null    // last picked activity
  for (each r in R) {
    if (r doesn't conflict with prevA) {
      append r to A
      prevA = r
    }
  }
  return A
}
```

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### Time Analysis

- $|R| = n$
- Naïve implementation
  - while runs  $O(n)$
  - findmin, delete overlap each runs  $O(n)$
  - $O(n^2)$
- Sort  $R$  by finish time first –  $O(n \log n)$ 
  - while changes to for and runs  $O(n)$
  - findmin/delete not needed any more
  - $O(n \log n + n) = O(n \log n)$

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### Correctness

- Valid schedule?
- Optimality?
  - maximizes the solution cardinality, i.e. does it schedule the max number of activities?

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### Proof

- Consider any optimal schedule  $O$  and let  $G$  be the schedule produced by greedy
- If  $O = G$  then we are done
- Otherwise, show we can construct a schedule  $O'$  that is more similar to  $G$  than it is to  $O$ , and keep going so that  $O$  converges to  $G$ .

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## Proof

- Order the activities in the schedules in increasing finishing times.
- Let  $O = \langle x_1, x_2, \dots, x_k \rangle$
- Since  $O$  and  $G$  differ, we have:
  - $O = \langle x_1, \dots, x_{j-1}, x_j, \dots \rangle$
  - $G = \langle x_1, \dots, x_{j-1}, g_j, \dots \rangle$ , where  $g_j \neq x_j$
  - Note  $k \geq j$  (why?)
  - $g_j$  has earlier finish time than  $x_j$
  - replace  $x_j$  with  $g_j$  in  $O$ , resulting in  $O'$

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## Proof

$$\begin{array}{c} O : [x_1] [x_2] \dots [x_{j-1}] [x_j] [x_{j+1}] [x_{j+2}] \dots \\ G : [x_1] [x_2] \dots [x_{j-1}] [g_j] [g_{j+1}] [g_{j+2}] \dots \\ \hline O' : [x_1] [x_2] \dots [x_{j-1}] [g_j] [x_{j+1}] [x_{j+2}] \dots \end{array}$$

- $O'$  is valid
  - $g_j$  does not conflict with earlier activities
  - or later activities
- and optimal (same cardinality)
- Keep doing it until  $O'$  becomes  $G$
- What if  $|O| > |G|$ ?

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## Lemma: Greedy Has Earlier Finish Times

- Given  $O = \langle [o_{s_1}, o_{f_1}], \dots, [o_{s_i}, o_{f_i}], \dots \rangle$  and  $G = \langle [g_{s_1}, g_{f_1}], \dots, [g_{s_i}, g_{f_i}], \dots \rangle$ ,
- Claim:  $g_{f_i} \leq o_{f_i} \forall i$
- Proof by induction
  - base case  $i = 1$ : by greedy construction
  - inductive hypothesis:  $g_{f_{i-1}} \leq o_{f_{i-1}}$
  - inductive step:  $o_{s_i} > g_{f_{i-1}}$ , thus job  $o_i$  has no conflict with  $g_{i-1} \rightarrow o_i$  was in the pool Greedy considered but didn't pick  $\rightarrow g_{f_i} \leq o_{f_i}$
- $|O| = |G|$

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