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INTRODUCTION

Surveillance Studies as a Transdisciplinary Endeavor

Surveillance studies is a dynamic field of scholarly inquiry. It emerges, in large part, from recognition of the ways in which pervasive information systems increasingly regulate all aspects of social life. Whether with workplaces monitoring the performance of employees, social media sites tracking clicks and uploads, financial institutions logging transactions, advertisers amassing fine-grained data on customers, or security agencies siphoning up everyone's telecommunications activities, surveillance practices—although often hidden—have come to define the way modern institutions operate. This development indicates more than just the adoption of information-based technological systems by organizations; rather, it represents a larger transformation in how people and organizations perceive and engage with the world. It now seems completely reasonable and responsible to collect data by default and base decisions on those data. It seems rational to use data to sort people into categories according to their anticipated risk or value and to treat people differently based on their categorization. These are surveillance logics that transcend any particular technological system, and indeed they do not require technological

mediation at all. Face-to-face surveillance, of people watching and controlling others, is certainly not rendered obsolete by new technologies.

Although definitions of surveillance vary, most scholars stress that surveillance is about more than just watching; it depends also on some capacity to control, regulate, or modulate behavior. This reading draws upon the French origins of the word *surveillance*, which means “watching from above.” It implies a power relationship. It is not just passive looking but is instead a form of oversight that judges and intervenes to shape behavior. Importantly, one does not need to be aware of such control dynamics for them to be effective; these dynamics can perhaps have greater force if they are felt as natural and their politics are hidden. The excerpts in this book sketch a number of definitions with different accents and nuances, but as a starting point, surveillance can be understood as “monitoring people in order to regulate or govern their behavior” (Gilliom and Monahan 2013: 2). While academics may agree, more or less, with general definitions, the term “surveillance” invites a range of interpretations. For some it is restricted to specific technologies

or legal designations, whereas for others it signals any form of systematic monitoring that exerts an influence or has a tangible outcome. Additionally, because of its negative connotations, practitioners on the ground often disagree about whether surveillance is taking place. For instance, social scientists who conduct empirical work with policing agencies have found that most law-enforcement personnel do not see their work in that way, even as they describe their professional functions in terms that researchers would label as surveillance.

Surveillance may be ubiquitous, but it acquires different forms, functions, and meanings across social settings. Broadly, one could say that all formations of capital, nation, and state—three aspects that constitute the structure of contemporary societies (Karatani 2014)—depend on mechanisms of surveillance to control markets, regulate bodies, and protect institutions. Recently, these processes were illuminated in the arena of national security and state intelligence, where the public gained newfound awareness of the extent of state surveillance operations with the trove of US National Security Agency (NSA) documents released by Edward Snowden in 2013. Clearly, surveillance flourishes in other spheres too, beyond explicit state operations or formal governance structures. For instance, public interest in surveillance has likewise been piqued by revelations about peer and corporate monitoring on social media sites like Facebook, which are platforms that also engage in the robust collection, analysis, and sharing of data, sometimes even running undisclosed “experiments” on users to see how they respond to different types of content.

Across domains, from state security agencies to social media sites, surveillance regulates boundaries and relations. It reinforces separation and different treatment along lines of class, race, gender, sexuality, age, and so on. Regardless of the context, surveillance is never a neutral

process. There are always value judgments and power imbalances, and they usually reproduce social inequalities. Because of growing awareness of the central role of surveillance in shaping power relations and knowledge across social and cultural contexts, scholars from many different academic disciplines have gravitated to surveillance studies and contributed to its solidification as a field.

But academic fields do not develop entirely on their own, just from a set of shared ideas or concerns. Rather, they depend on the concerted efforts of individuals to pull and hold people together, to initiate and sustain conversations over time, and, ultimately, to institutionalize the field in a set of organizational practices and artifacts (Mullins 1972). For surveillance studies, those practices entailed workshops beginning in the early 1990s and continuing with greater frequency in the 2000s; the formation of the international Surveillance Studies Network (SSN) in 2006; and the hosting of international conferences every two years, starting in 2004. The artifacts include numerous edited volumes, many of them outgrowths of the aforementioned workshops, and, crucially, the founding of the open-access online journal *Surveillance & Society* in 2002. Many of the people involved in these activities, including the editors of this Reader, are represented in this book, but special mention must be made of sociologist David Lyon, who was instrumental early on in organizing workshops and conference panels and producing edited volumes that drew scholars into dialogue, thus helping to constitute the field.

Clearly this is an “origin story,” and such stories are always political: they set the parameters for who and what counts or should be counted. As a collection of curated materials, Readers, such as this one, are similarly political and necessarily exclusionary, if only because there simply is not sufficient room to include everything

that one would like to—or should—include. Although such politics and exclusions are unavoidable, we choose to be self-reflexive about our standpoints and the choices we are making. We are interdisciplinary scholars with backgrounds and direct experience in surveillance studies, science and technology studies (STS), geography, sociology, communication, and history. Indirectly, through conferences, publications, and collaborations, we participate in many other fields: anthropology, political science, law and society, criminology, American studies, gender studies, cultural studies, and others.

This interdisciplinary orientation infects the explicit and implicit arguments of this Reader. Instead of overemphasizing the contributions of one discipline, for instance, we seek to illustrate how different disciplinary perspectives bring different concerns, methods, and theoretical positions to the study of surveillance in society. We feel that this is an empirically accurate representation of the field, as well, in that there are many voices and disciplines represented in the conversations of the field, as any perusal of conference programs will bear out. More than being a static “snapshot,” however, there is a deeper and ongoing story here about a correspondence between the field’s institutionalization and its increasing interdisciplinarity. The two have occurred, and continue to occur, together. Perhaps the field’s defining feature is its search for commonalities among tensions in disciplinary approaches to surveillance. This is the reason we prefer to call surveillance studies a “transdisciplinary field.” It draws its strength and forms its identity from shared general concerns and productive frictions among disciplines, all the while fostering departures and innovations. It has achieved cohesion as a bona fide new field with shared concepts, “citation classics,” and forms of institutionalization (e.g., a journal and conferences), but it also invites, and often seems to embrace, critiques.

This should not be read as a romanticization of the field. Certainly not every surveillance studies scholar welcomes being challenged from a disciplinary perspective other than her or his own. That said, as the field as a whole has been forced to grapple with such challenges, and continues to do so, the general tone has not been one of defensiveness but rather appreciation. Not of exclusion and ostracism, but of inclusion and acceptance. These are the norms that characterize the field for many participants, and they are ones we try to reproduce with our selection, grouping, and framing of excerpts in this book.

Histories of Surveillance and Surveillance Studies

There may be an allure to seeing surveillance as novel, but there are important historical contexts and lineages that inform and shape the present. Some of the earliest influential work in the field, by pioneers like James B. Rule and Michel Foucault, came out of a 1960s and 1970s context of state surveillance that included the monitoring, disruption, and repression of progressive groups by both totalitarian and democratic states (Murakami Wood 2009b). At this time, as is still the case today, state actors were emboldened by new technologies that afforded the collection and analysis of information on an unprecedented scale. Rule’s book *Private Lives and Public Surveillance* (1973) delved into these trends with a focus on the implications of government agencies and corporations adopting new computer databases as central tools of governance and customer management. Rule saw these changes as introducing the threat of a “total surveillance society” that could lead to diminished autonomy, curtailed rights, and political repression.

Foucault (1977), on the other hand, cast his eye backward to illustrate how

surveillance became a central method for governance and the construction of modern subjects. Foucault's observations about the emergence of distributed methods of rule and self-disciplining forms of subjectivity were incredibly generative and explain his substantial and sustained influence in the field, however much scholars might question the specifics of his historical analysis or particular aspects of his theory. Ironically, as Gilles Deleuze (1992) later pointed out, the combined technological transformations and sociopolitical crises of the 1960s and 1970s presaged the end of the modern surveillance regime described by Foucault in *Discipline and Punish*, leading to today's more machinic, automated, and inhuman late-capitalist regime.

The history of surveillance, of course, goes back much further. As David Lyon's *The Electronic Eye* (1994) described, surveillance can be detected in population documents from ancient Egypt and also in records of English landholding with the Domesday Book from 1086. Interestingly, the word "eavesdrop," which had its first printed use in 1606, originally referred to someone who literally stood within the space next to a house where rainwater dripped from the eaves, where one could secretly listen to what was said inside (OED Online 2016). These historical references reveal a mixture of hierarchical politics, technological affordances (writing itself and the vernacular architecture of wooden houses, respectively), and local social practices, which come together to produce particular forms of surveillance. It is not accurate in most cases to make an arbitrary distinction between "technological" and "non-technological" surveillance. However, it is certainly true that the earlier the form of surveillance, the greater and more obvious the role that people played in the process. The actual or suspected presence of spies, informers, watchmen, and guards looms larger in social imaginaries about surveillance in the premodern and early modern periods than it does today.

The biggest historical transformations were associated not so much with the development of new technologies as they were with the social functions and goals of surveillance. In early modern Europe, states sought to discover commonalities in *groups* and codify descriptions of them in bureaucratic archives, thus creating identities against which individuals were measured (Groebner 2007). By the end of the eighteenth century, however, rulers became increasingly interested in identifying *specific* people, both in nation-states and their colonies, to effectively create "police states" of well-governed and transparent societies (Fichte 2000 [1796/97]). By the late nineteenth century, this identification imperative reached crisis levels, fueled by concerns about anonymous individuals—perhaps with criminal inclinations—circulating in newly industrialized cities and challenging established social hierarchies (Cole 2001; Torpey 2000). Identification regimes were combined with generalized surveillance and mass enforcement, which were often supplemented by spectacular and exemplary punishments to deter criminal behavior by others.

Modern surveillance also concerned itself increasingly with individual subjectivity and the management of populations in ways that generated compliance, productivity, and even health and happiness (Foucault 1978). In this, surveillance was always associated with scientific advances, particularly with the new science of numbers, statistics (Hacking 1990; Porter 1995; Scott 1998). As Ian Hacking shows in *The Taming of Chance* (1990), throughout the nineteenth century, a general belief in determinism gradually gave way to regimes of probability. The quantification of everything (grain, forests, people, suicides, and so on) gave rise to statistical bureaus and allowed states to invoke scientific rationality in governance decisions.

At the same time, the science of the body—in terms of both the broad

picture of biology and evolution and the development of physiology and kinetics (movement)—was inspired by and provided the basis for a new kind of efficient and compliant workforce. Typically, these efforts mobilized surveillance to extract as much labor from bodies as was physically possible. Frederick Winslow Taylor (1911) is well known in this regard, due to his efforts to implement a system of “scientific management” of factory workers. This early form of workplace surveillance relied on close observation, segmentation of tasks, and division of labor, all overseen by a new class of managerial elites whose technocratic functions would, in Taylor’s view, advance the social and economic prosperity of the nation.

Whereas Taylor believed in a voluntary system where incentives and effective management would compel heightened productivity, brutal forms of involuntary labor extraction—as with slavery in the United States, Brazil, Haiti, and elsewhere—also depended on surveillance innovations. As Nicholas Mirzoeff (2011) explains, state visibility regimes, including those used in the institutional management of slaves, rely on techniques of classification, separation, and aestheticization, such that people are reduced to governable units and represented in bureaucratic systems that obscure the symbolic and real violence of dehumanizing complexes. In the case of slavery in the United States, especially as the institution started to unravel, surveillance took the form of hot-iron branding, slave passes and “lantern laws” to regulate movement, and wanted posters encouraging the apprehension of runaway slaves (Browne 2015). In her important work on the surveillance of blackness, Simone Browne reveals how forms of agency and resistance were always a part of the slave experience and that exercises of resistance continue today in people’s confrontations with discriminatory and racist surveillance apparatuses (see Section 14).

In the late nineteenth century, biological theories of racial inferiority fused with new identification techniques like physiognomy, photography, and fingerprinting—the early systems of biometric measurement (Cole 2001; Sekula 1986). These were policing technologies deployed in an effort to catalogue offenders and make criminality legible, and perhaps even predictable, through scientific means. In tandem with the rise of the eugenics movement of the Progressive Era in the United States, these scientific schemes drew upon narratives of biological difference to justify unequal treatment of supposedly inferior groups: immigrants, racial minorities, the poor, the illiterate, or the cognitively impaired (Kevles 1995). Behind the facade of objective science, discriminatory practices were institutionalized through such identification systems, and social hierarchies were reinforced in a time of heightened migration and social mobility.

The period at the end of the nineteenth century saw the creation of new rights and freedoms. The modern legal concept of privacy arose in the context of polite New England society and the frustrations of the American bourgeoisie with an increasingly intrusive media, in particular popular newspapers in their reporting of society functions. Louis Brandeis and Samuel Warren’s (1890) famous line about the “right to be let alone” comes from this context, where privacy was mobilized as a right of the privileged. Perhaps awareness of unequal access to privacy rights, even during its emergence as a legal construct over a century ago, helps explain the general reservations that many surveillance studies scholars have about privacy discourses today. As we develop in Section 8, there are clearly disciplinary reasons as well for one’s commitment to—or suspicion of—privacy protections as responses to surveillance. However, for better or worse, within policy arenas and liberal academia, privacy and the “private life” remain both tactical

and ideologically the dominant forms of response to surveillance. This is true even as scholars search for more comprehensive, powerful, and flexible ways of responding to surveillance encroachments and abuses.

Before the field of surveillance studies started to coalesce in the late 1990s, scholars largely followed the thread of the early 1970s critiques of centralized computer databases, state surveillance, and policing. For example, Gary Marx's classic book *Undercover* (1988) connected the use of older forms of human surveillance with police informants and undercover operations to the emergence of new technologies, such as infrared cameras, that could circumvent privacy expectations without concomitant increases in legal protections. Likewise, Roger Clarke (1988) described dangers brought about by forms of "dataveillance" that allowed for the large-scale combination of data points and the construction of profiles that could be used to discriminate against people even in advance of any wrongdoing. David Lyon (1988) echoed these anxieties as well in his first major work to deal with surveillance, where he concluded by developing the ominous figure of the "carceral computer." With greater attention to racial inequalities and corporate profiling of customers, Oscar Gandy (1993) similarly noted how information systems were acting politically to sort people in unequal ways while obscuring the inherent biases of the systems in question. As a culminating point, of sorts, in 1987 a number of significant players in the emergent field (e.g., Priscilla Regan, Gary Marx, Andrew Clement, and James Rule) contributed to an influential report by the US Office of Technology Assessment (1987) on workplace surveillance; this report rearticulated some of the above critiques but also, perhaps more important for this discussion of field formation, served as an early and explicit articulation of shared concerns.

In the early 1990s, a conceptual change began with consideration of new

technological innovations in conjunction with popular theorizations about postmodernism. In addition to the aforementioned Gilles Deleuze (1992) and Oscar Gandy (1993), Mark Poster (1990) described surveillance operations in new media technologies and human-machine interfaces, which simultaneously detrterritorialized subjectivity and dispersed control mechanisms. David Lyon (2001, 1994) synthesized many of these themes by explicating the ways in which "information societies" are necessarily "surveillance societies" because the automatic collection of data by information systems affords the classification of individuals and groups, behaviors and risks, leading to differential treatment of people. Kevin Haggerty and Richard Ericson (2000) developed these foundations further, describing the role of the individual's "data double" in a larger "surveillant assemblage," an amorphous network of public and private systems where individuals have little recourse to alter or contest the surveillance that is taking place. More than that, almost all organizations engage in such acts of data collection, analysis, and intervention (Staples 2000), meaning—among other things—that surveillance has become one of the dominant modes of ordering in the postmodern era.

Conceptual Challenges

As scholars from a variety of disciplines engaged with surveillance studies, they relied upon a common set of concepts to advance collective knowledge. In particular, Foucault's interpretation of Jeremy Bentham's Panopticon, the legal and moral concept of privacy, and George Orwell's figure of Big Brother were quite productive in sparking analysis. Over time, however, these concepts became strained and seemed dissonant with the empirical conditions described by researchers or the field's growing theoretical interests.

popular perceptions and understandings of surveillance, yet until recently there has been surprisingly little sustained academic discussion of them in the field. This is rapidly changing with a flurry of new books on these and related subjects (e.g., Lefait 2013; Rosen and Santesso 2013; Wise 2016; Zimmer 2015). The excerpts in this section offer sophisticated critical interpretations of various cultural works, thereby correcting deficiencies in the field and suggesting directions for future investigation.

Conclusion

The thing that holds most of surveillance studies' areas together is a general agreement that surveillance is central to the functioning of contemporary societies, from the level of state practices all the way down to interpersonal exchanges among family members and friends. While some may not agree that surveillance is *the most important* social process or cultural logic, it is difficult to contest its pervasiveness and influence. It is how organizations and people make sense of and manage the world. It is also how power relations are established and reproduced. For scholars, surveillance offers a rich approach to investigating social and cultural phenomena and detecting the power relations inherent in them.

As represented in the organization of this Reader's sections, the field started out with more of an institutional focus, questioning the increasing influence of state and corporate actors over others. Technology was central in facilitating this influence, whether with architectural embodiments of control with panoptic designs or with databases and video-camera systems. The early institutional focus makes sense in that hierarchical relationships and power differentials—the roots of surveillance—are more apparent when there are extreme disparities between parties, such as between institutions and individuals. Moreover, this initial framing of

the problems of surveillance reflects classic sociological concerns about the place of individuals in society and the relationship of structure to agency. As others sought to flesh out these concerns, they did so with empirical research on people in context, classically of workplaces or police departments, where the latest surveillance systems, such as computer keystroke tracking or CCTV, were used to monitor others from a distance. Such sociological and criminological framings were formative for the field, establishing the initial parameters for the study of surveillance, and because these framings resonate with conventional understandings of surveillance (e.g., Big Brother), they continue to exert a force on new scholarship. This can be seen, for instance, with the impulse of scholars to study the next big organizational incarnation of surveillance (e.g., Google, Uber, the Department of Homeland Security), whatever it might be.

As the field expanded, this interest in institutions and technological systems persisted, but it shifted to reflect a wider range of disciplinary concerns and approaches. Privacy scholars, for instance, framed the issues in terms of rights, values, and legislative processes. The focus remained on institutional abuses facilitated by technology, but privacy scholars also outlined pragmatic solutions that might be achieved through legislative changes or technological designs (e.g., with encryption). Geographers stressed how the integration of surveillance systems into urban infrastructure was actualizing new regimes of governance and fueling neoliberal capitalism, which benefited corporations and the military but aggravated social inequalities. Communication scholars similarly situated surveillant media systems in the context of the political economy, describing how large media and technology companies shape ideologies while profiting from the labor of viewers or users.

The latest "cultural turn" in surveillance studies is significant in that it largely breaks from the institutional framework, at least as

a necessary element, in order to trace power relations in the production and circulation of cultural meanings, many of which rely on representations of people and narratives about their identities (Monahan 2011). Thus, feminist studies, queer studies, and critical race studies scholars might draw attention to depictions of threats or worthiness, showing how those markers are encoded in surveillance systems and practices, propagating violence against marginalized groups. Those studying cinema, literature, media, or art often highlight the ways in which cultural products form perception and a sense of personhood, normalizing the idea of being a surveillance subject, while also presenting avenues for resistance and critique. Performance studies scholars interrogate and contest, sometimes through performance, the meaning and politics of the many surveillance routines that characterize daily life. Finally, communication scholars and others seek to understand the participatory trend in self- and peer-surveillance (e.g., through the “Quantified Self” movement or social media use), often by starting from the perspective of users themselves.³

This Reader provides one possible mapping of the field of surveillance studies. We take our inspiration from the field’s many generous participants—our mentors, colleagues, and students—who have brought, and continue to bring, this vibrant field into being. Importantly, this book does not aspire to be a final representation of what the field is or what counts in it, but instead a provisional sketch of a dynamic and exciting process of mutation. Foremost, it is an invitation for others to explore, delve deeper into full texts that are only partially reproduced here, and participate in the ongoing conversations and debates.

3. Obviously, these are broad brushstrokes that occlude much of the nuance and do not represent all contributions to the field. The aim of this summary is to offer a general sense of the arc of the field’s development.

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NOTES

1. <http://www.surveillance-studies.net/>.
2. <http://www.surveillance-and-society.org/>.

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